

New Entrant Motor  
Carrier Safety Audit  
Program

# New Entrant Safety Audit Program

Safety Audits are a one-time review of an interstate carrier's compliance with Federal Motor Carrier Safety Regulations.

# Why the NEA Program was created?

- The New Entrant Safety Audit Program was created by FMCSA in 2003 to inform carriers of FMCSR's and their requirements
- 385.3 states: Safety Audit is an examination of a motor carrier's operations to provide educational and technical assistance on safety and the operational requirements of the FMCSRs and applicable HMRs and to gather critical safety data needed to make an assessment of the carrier's safety performance and basic safety management controls. Safety audits do not result in safety ratings.

# 385.305T After the FMCSA receives request for new entrant registration

§ 385.305T What happens after the FMCSA receives a request for new entrant registration?

- (a) The requester for new entrant registration will be directed to the FMCSA Internet Website ([www.fmcsa.dot.gov](http://www.fmcsa.dot.gov)) to secure and/or complete the application package online.
- (b) The application package will contain the following:
  - (1) Educational and technical assistance material regarding the requirements of the FMCSRs and HMRs, if applicable.
  - (2) The Form MCS-150, The Motor Carrier Identification Report.
  - (3) Application forms to obtain operating authority under 49 CFR part 365, as appropriate.
- (c) Upon completion of the application forms, the new entrant will be issued a USDOT number.
- (d) For-hire motor carriers, unless providing transportation exempt from 49 CFR part 365 registration requirements, must also comply with the procedures established in 49 CFR part 365 to obtain operating authority before operating in interstate commerce.

# When a USDOT # is obtained

The screenshot shows a web browser window displaying the 'New Entrant' audit list on the ai.fmcsa.dot.gov website. The page title is 'List of Unassigned Carriers'. The table lists various carriers with their DOT numbers, carrier names, OIC states, audit types, audit type IDs, operation types, and NE statuses. The status for all listed carriers is 'Currently In New Entrant'. The table is filtered to show 100 of 432 records.

	DOT #	Carrier	OIC State	Audit Type	Audit Type ID	Operation Type	NE Status
<input type="checkbox"/>	1120201	<a href="#">Tide Tamer Industries</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1181850	<a href="#">E L Johnson Trucking</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1261301	<a href="#">Anderson &amp; Sons Trucking</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1300777	<a href="#">Stallings Farms</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1324290	<a href="#">V T Trucking</a>	NC	Onsite	3	Interstate	Currently In New Entrant
<input type="checkbox"/>	1327903	<a href="#">S &amp; S Transport Llc</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1407713	<a href="#">Kivi Trucking Inc</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1412223	<a href="#">M &amp; O Express</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1418247	<a href="#">Tnt Express Freight</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1571166	<a href="#">Jmq Driveaway Llc</a>	NC	Onsite	3	Interstate	Currently In New Entrant
<input type="checkbox"/>	1587852	<a href="#">Ci Auto Sales</a>	NC	Onsite	3	Interstate	Currently In New Entrant
<input type="checkbox"/>	1589962	<a href="#">J A Bailey Trucking</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1597087	<a href="#">Emb Transport</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1696196	<a href="#">Performance Transport Inc</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1765957	<a href="#">Charlie Wills</a>	NC	Offsite Eligible	2	Interstate	Currently In New Entrant
<input type="checkbox"/>	1831191	<a href="#">Broadus Baptist Church Inc</a>	NC	Onsite	3	Interstate	Currently In New Entrant

**The Carrier is placed on the New Entrant Audit List**

# Carrier is assigned to an auditor

**After the carrier is assigned to an auditor, usually 5 to 6 months after getting a USDOT number**

**This time period gives a carrier time to begin operations.**

# Off-site or On-site Audits

New Entrant Audits are either off-site (carrier sends in requested documents) or on-site (auditor visits the carrier's location)

# ON – SITE AUDITS

**If a Carrier is:**

- 1) A passenger carrier**
- 2) A household goods hauler**
- 3) Carries hazardous materials that require a placard.**
- 4) Is subject to expedited action – roadside inspection violations or collision**
- 5) Has reinstated a USDOT number after being placed out of service.**



## Audit completion

Audits will be completed within 12 months of the date the USDOT number was issued by FMCSA

# Passenger and Expedited Action Audits

FMCSA requires that Passenger Carriers' and Expedited Action Carriers' audits will be completed within 4 months after a USDOT number was issued or after the expedited action violation. These audits are assigned sooner than a standard audit.

# Consequence of not complying with an audit

Failure to respond to document requests or requests to make an audit appointment can result in a 30-day out-of-service order.

# Contact Information

NCSHP Field Operations, Motor Carrier  
Enforcement Section,  
New Entrant Auditor Coordinator

- Walter Miller (919)-715-8683

NCSHP Field Operations, Motor Carrier  
Enforcement Section,

- Sgt. Travis L. Ingold (919)-715-8683

Questions???